

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>( Not for submission under 37 CFR 1.99)</i>	Application Number		10661641	
	Filing Date		2003-09-15	
	First Named Inventor		Burke T. Barrett	
	Art Unit		3762	
	Examiner Name		Scott M. Getzow	
	Attorney Docket Number		1000.026	

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
S6	1	5861014		1999-01-19	Familoni	
	2	5836994		1997-11-17	Bourgeois	
	3	5611350		1997-03-18	John	
	4	5571150		1996-11-05	Wernicke, et al.	
	5	5540734		1996-07-30	Zabara	
	6	5540730		1996-07-30	Terry, Jr., et al.	
	7	5423872		1995-06-13	Cigaina	
▼	8	5299569		1994-04-05	Wernicke, et al.	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>( Not for submission under 37 CFR 1.99)</i>		Application Number		10661641
		Filing Date		2003-09-15
		First Named Inventor	Burke T. Barrett	
		Art Unit		3762
		Examiner Name		Scott M. Getzow
		Attorney Docket Number		1000.026

36	9	5263480		1993-11-23	Wemicke, et al.	
	10	5199430		1993-04-06	Fang, et al.	
	11	5188104		1993-02-23	Wemicke, et al.	
	12	5025807		1991-06-25	Zabara	
	13	4867164		1989-09-19	Zabara	
✓	14	4702254		1987-10-27	Zabara	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
56	1	20050131486		2005-06-16	Boveja, et al.	
55	2	20050049655		2005-03-03	Boveja, et al.	
56	3	20050004621		2005-01-06	Boveja, et al.	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>		Application Number	10661641
		Filing Date	2003-09-15
		First Named Inventor	Burke T. Barrett
		Art Unit	3762
		Examiner Name	Scott M. Getzow
		Attorney Docket Number	1000.026

56	4	20050154425		2005-07-14	Boveja, et al.	
	5	20030236558		2003-12-25	Whitehurst, et al.	
	6	20050192644		2005-09-01	Boveja, et al.	
	7	20060079936		2006-04-13	Boveja	
	8	20040172094		2004-09-02	Cohen, et al.	
	9	20050131485		2005-06-16	Knudson, et al.	
	10	20050038484		2005-02-17	Knudson, et al.	
	11	20040172088		2004-09-02	Knudson, et al.	
	12	20040167583		2004-08-26	Knudson, et al.	
	13	20040172085		2004-09-02	Knudson, et al.	
↓	14	20040176812		2004-09-09	Knudson, et al.	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>		Application Number		10661641
		Filing Date		2003-09-15
		First Named Inventor		Burke T. Barrett
		Art Unit		3762
		Examiner Name		Scott M. Getzow
		Attorney Docket Number		1000.026

SF	15	20040172091		2004-09-02	Rezai	
SF	16	20030181954		2003-09-25	Rezai	
SF	17	20020151939		2002-10-17	Rezai	

If you wish to add additional U.S. Published Application citation information please click the Add button.

#### FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
SF	1	2004036377	WO	A2	2004-04-29	Osorio, et al.		<input type="checkbox"/>
SF	2	2005007120	WO	A2	2005-01-27	Lesser		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
SF	1	BACHMAN, D.S., et al., "Effects of Vagal Volleys and Serotonin on Units of Cingulate Cortex in Monkeys," Brain Research, 130 (1977) 253-269	<input type="checkbox"/>
SF	2	ELGER, G., et al., "Vagus Nerve Stimulation is Associated with Mood Improvements in Epilepsy Patients," Epilepsy Research 42 (2000) 203-210	<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>	Application Number	10661641
	Filing Date	2003-09-15
	First Named Inventor	Burke T. Barrett
	Art Unit	3762
	Examiner Name	Scott M. Getzow
	Attorney Docket Number	1000.026

JF	3	FISHER, R.S., et al., "Reassessment: Vagus Nerve Stimulation for Epilepsy," Neurology Vol. 53 (September 1999) 666-669	<input type="checkbox"/>
	4	HALLOWITZ, R.A., et al., "Effects of Vagal Volleys on Units of Intralaminar and Juxtalaminal Thalamic Nuclei in Monkeys," Brain Research 130 (1977) 271-286	<input type="checkbox"/>
	5	HENRY, T.R., "Therapeutic Mechanisms of Vagus Nerve Stimulation," Neurology 59 (Suppl.4) (September 2002) S3-S14	<input type="checkbox"/>
	6	KRAHL, S.E., et al., "Destruction of Peripheral C-Fibers Does Not Alter Subsequent Vagus Nerve Stimulation-Induced Seizure Suppression in Rats," Epilepsia 42(5): 586-589 (2001)	<input type="checkbox"/>
	7	LABAR, D., et al., "Vagus Nerve Stimulation for Medication-Resistant Generalized Epilepsy," Neurology 52 (April 1999) 1510-1512	<input type="checkbox"/>
	8	LOCKARD, J.S., et al., "Feasibility and Safety of Vagal Stimulation in Monkey Model," Epilepsia 31 (Suppl.2): S20-S26 (1990)	<input type="checkbox"/>
	9	ROSENBAUM, J., et al., "Vagus Nerve Stimulation for Treatment-Resistant Depression," Society of Biological Psychiatry, Vol. 47, No. 4 (February 15, 2000)	<input type="checkbox"/>
	10	SALINSKY, M.C., et al., "Vagus Nerve Stimulation for the Treatment of Medically Intractable Seizures," Arch Neurol. Vol. 53 (November 1996) 1176-1179	<input type="checkbox"/>
	11	SCHACHTER, S.C. and Schmidt D., eds. "Vagus Nerve Stimulation," Martin Dimitz Ltd., Publisher, London (2001) pp. 1-101	<input type="checkbox"/>
	12	TERRY, R.S., et al., "The Implantable Neurocybernetic Prosthesis System," Pacing and Clinical Electrophysiology, Vol. 14, No. 1 (January 1991) 86-93	<input type="checkbox"/>
JF	13	VONCK, K., et al., "The Mechanism of Action of Vagus Nerve Stimulation for Refractory Epilepsy," Journal of Neurophysiology, Vol. 86, No. 5 (September 2001) 394-401	<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>	Application Number	10661641
	Filing Date	2003-09-15
	First Named Inventor	Burke T. Barrett
	Art Unit	3762
	Examiner Name	Scott M. Getzow
	Attorney Docket Number	1000.026

SJ	14	WOODBURY, J.W., et al., "Vagal Stimulation Reduces the Severity of Maximal Electroshock Seizures in Intact Rats: Use of Cuff Electrode for Stimulating and Recording," PACE, Vol. 14 (January 1991) 94-107	<input type="checkbox"/>
SG	15	ZABARA, J., et al., "Inhibition of Experimental Seizures in Canines by Repetitive Vagal Stimulation," Epilepsia 33 (6):1005-1012 (1992)	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

#### EXAMINER SIGNATURE

Examiner Signature	S. G.	Date Considered	1/23/07
--------------------	-------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>( Not for submission under 37 CFR 1.99)</i>	Application Number		10661641	
	Filing Date		2003-09-15	
	First Named Inventor		Burke T. Barrett	
	Art Unit		3762	
	Examiner Name		Scott M. Getzow	
	Attorney Docket Number		1000.026	

U.S.PATENTS					<input type="button" value="Remove"/>	
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
S6 1	1	6895278		2005-05-17	Gordon	
	2	6853862		2005-02-08	Marchal, et al.	
	3	6832114		2004-12-14	Whitehurst, et al.	
	4	6826428		2004-11-30	Chen, et al.	
	5	6819956		2004-11-16	Di Lorenzo	
	6	6775573		2004-08-10	Schuler, et al.	
	7	6760626		2004-07-06	Boveja	
✓	8	6754536		2004-06-22	Swoyer, et al.	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>		Application Number	10661641
		Filing Date	2003-09-15
		First Named Inventor	Burke T. Barrett
		Art Unit	3762
		Examiner Name	Scott M. Getzow
		Attorney Docket Number	1000.026

56	9	6708064		2004-03-16	Rezai	
	10	6684105		2004-01-27	Cohen, et al.	
	11	6684104		2004-01-27	Gordon, et al.	
	12	6650943		2003-11-18	Whitehurst, et al.	
	13	6615084		2003-09-02	Cigaina	
	14	6615081		2003-09-02	Boveja	
	15	6612983		2003-09-02	Marchal	
	16	6611715		2003-08-26	Boveja	
	17	6609030		2003-08-19	Rezai, et al.	
	18	6609025		2003-08-19	Barrett, et al.	
✓	19	6606523		2003-08-12	Cigaina	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>	Application Number	10661641
	Filing Date	2003-09-15
	First Named Inventor	Burke T. Barrett
	Art Unit	3762
	Examiner Name	Scott M. Getzow
	Attorney Docket Number	1000.026

56	20	6606518		2003-08-12	Cigaina	
	21	6600953		2003-07-29	Flesler, et al.	
	22	6587726		2003-07-01	Lurie, et al.	
	23	6587719		2003-07-01	Barrett, et al.	
	24	6564101		2003-05-13	Zikria	
	25	6542776		2003-04-01	Gordon, et al.	
	26	6535764		2003-03-18	Imran, et al.	
	27	6532388		2003-03-11	Hill, et al.	
	28	6510332		2003-01-21	Greenstein	
	29	6505074		2003-01-07	Boveja, et al.	
↓	30	6477423		2002-11-05	Jenkins	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>		Application Number	10661641
		Filing Date	2003-09-15
		First Named Inventor	Burke T. Barrett
		Art Unit	3762
		Examiner Name	Scott M. Getzow
		Attorney Docket Number	1000.026

31	6449512		2002-09-10	Boveja	
32	6418344		2002-07-09	Rezai, et al.	
33	6381495		2002-04-30	Jenkins	
34	6366814		2002-04-02	Boveja	
35	6356788		2002-03-12	Boveja	
36	6327503		2001-12-04	Familoni	
37	6321124		2001-11-20	Cigaina	
38	6266564		2001-07-24	Hill, et al.	
39	6238423		2001-05-29	Bardy	
40	6216039		2001-04-10	Bourgeois	
41	6176242		2001-01-23	Rise	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>		Application Number		10661641
		Filing Date		2003-09-15
		First Named Inventor		Burke T. Barrett
		Art Unit		3762
		Examiner Name		Scott M. Getzow
		Attorney Docket Number		1000.026

S6	42	6167311		2000-12-26	Rezai	
	43	6115635		2000-09-05	Bourgeois	
	44	6104955		2000-08-15	Bourgeois	
	45	6102922		2000-08-15	Jakobsson, et al.	
	46	6091992		2000-07-18	Bourgeois, et al.	
	47	6083249		2000-07-04	Familoni	
	48	6044846		2000-04-04	Edwards	
	49	6026326		2000-02-15	Bardy	
↓	50	5995872		1999-11-30	Bourgeois	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(Not for submission under 37 CFR 1.99)</small>	Application Number		10661641
	Filing Date		2003-09-15
	First Named Inventor		Burke T. Barrett
	Art Unit		3762
	Examiner Name		Scott M. Getzow
	Attorney Docket Number		1000.026

	1					
--	---	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS							<input type="button" value="Remove"/>	
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> <sup>i</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS							<input type="button" value="Remove"/>
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.					T <sup>5</sup>
	1						<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE						
Examiner Signature	<i>S. G.</i>			Date Considered	1/23/07	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.